

12/04/01

12-10-01

JCS911 U.S. P.O. 12/04/01	UTILITY PATENT APPLICATION TRANSMITTAL		Attorney Docket No. 09792909-5284
			First Named Inventor or Application Identifier Sakuya Tamada
	Only for non-provisional applications under 37 CFR 1.53(b)		Express Mail Label No: EL834556939US
	ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231		12/04/01 956900 10/000605 10/000605

APPLICATION ELEMENTS
 See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Specification Total Pages 26
 2. ☒ Drawing(s) (35USC 113) Total Pages 8
 3. ☒ Declaration and Power of Attorney Total Pages 2
 a. ☒ Unexecuted(original or copy)
 b. ☐ Copy from prior application (37CFR 1.63(d))
 (for continuation/divisional with Box 14 completed)

[Note Box 4 Below]

i. ☐ **DELETION OF INVENTOR(S)**

Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

4. ☐ Incorporation By Reference (usable if Box 3b is checked)
 The entire disclosure of the prior application, from which copy of the oath or declaration is supplied under Box 3b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

ACCOMPANYING APPLICATION PARTS

5. ☐ Assignment Papers (cover sheet & documentation)
 6. ☒ Letter under 37 CFR 1.41(c).
 7. ☐ English Translation Document to follow (if applicable)
 8. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
 9. ☒ Preliminary Amendment
 10. ☒ Return Receipt Postcard (MPEP 503)
 (Should be specifically itemized)
 11. ☐ Small Entity ☐ Statement filed in prior Application, Status still proper and desired
 12. ☒ Certified copy of Japanese priority document No. P2000-372089 filed December 6, 2000.

14. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) ☐ of prior application No:

CLAIMS AS FILED

	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) BASIC FEE
TOTAL CLAIMS		2		\$18.00	0.00
INDEPENDENT CLAIMS		1		\$4.00	0.00
	ANY MULTIPLE DEPENDENT CLAIMS?			280.00	0.00
	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO				
			TOTAL FEE	\$740.00	

- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required in connection with this application, or credit any overpayment to ACCOUNT NO. 19-3140. A duplicate copy of this sheet is enclosed.
☒ A check in the amount of \$740.00 to cover the filing fee is enclosed.

15. CORRESPONDENCE ADDRESS: Sonnenschein, Nath & Rosenthal
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 Chicago, IL 60606
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SIGNATURE David R. Metzger
 David R. Metzger (Reg. No. 32,919)

Date: December 4, 2001

U-11

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December 4, 2001

Assistant Commissioner of Patents
Washington, D.C. 20231

RE: New U.S. Application for Letters Patent entitled
"OPTICAL DISC"
Applicant(s) Sakuya Tamada, et al.
Attorney Docket No. 09792909-5284

Dear Sir:

Under the provisions of 37 C.F.R. §1.41(c), I am filing the attached application, including 2 claims (1 independent), 8 sheets of drawings (Figs. 1-11) and the filing fee of \$740.00 on behalf of

SAKUYA TAMADA and HIDETOSHI WATANABE

and request that the application be assigned a serial number and filing date pursuant to the provisions of 37 C.F.R. §1.53(b) and 37 C.F.R. §1.53(d).

Very truly yours,

SONNENSCHN NATH & ROSENTHAL

By:



David R. Metzger

DVM:kat
Enclosures

1000653-120401
104021-8560001

EXPRESS MAIL #EL834556939US

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

"Express Mail" Mailing Label Number: EL834556939US

Date of Deposit: December 4, 2001

I hereby certify that this correspondence is being deposited with the United States Postal "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to The Commissioner of Patents and Trademarks, U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202

Applicant(s): Sakuya Tamada and Hidetoshi Watanabe

Attorney Docket No. 09792909-5284

\$740.00 filing fee (2 claims - 1 independent)

Drawings (8 sheets - Figs. 1-11)

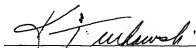
Unexecuted Declaration

Letter Under 37 C.F.R. §1.41(c)

Preliminary Amendment

Certified copy of Japanese priority application No. P2000-372089

Return postcard



Signature of Person Mailing Application and Fees

1006958-120401